

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (For new non-provisional applications under 37 CFR § 1.53(b))

Atty. Dkt. No:	5681-13401
Inventor(s): Robert E. Cypher	
Title:	MULTI-NODE COMPUTER SYSTEM WITH ACTIVE DEVICES EMPLOYING PROMISE ARRAYS FOR OUTSTANDING TRANSACTIONS

[illegible]

**CERTIFICATE OF EXPRESS MAIL**  
**UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EV424916818US  
DATE OF DEPOSIT: March 31, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents  
Box Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Derrick Brown

## Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

<b>Total Claims</b>	<b>28</b>	<b>- 20</b>	<b>= 8</b>	<b>x</b>	<b>\$18.00 =</b>	<b>\$144.00</b>
<b>Independent Claims</b>	<b>3</b>	<b>- 3</b>	<b>= 0</b>	<b>x</b>	<b>\$86.00 =</b>	<b>\$ -</b>
<b>Multiple Dependent Claims</b>					<b>Fee:</b>	
					<b>Basic Fee:</b>	<b>\$ 770.00</b>
					<b>Total:</b>	<b>\$ 914.00</b>

- ☒ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
Deposit Account No. 501505/5681-13401/BNK.
2. ☒ Specification  
131 page(s) of specification; 6 page(s) of claims, 1 page(s) of abstract
3. ☒ Drawings  
Informal Figure(s) 1-41 on 43 sheet(s)
4. ☒ Oath or Declaration  
☒ Newly executed  
☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))  
Deletion of Inventor(s) (in continuation or divisional applications):  
☐ Delete the following inventor(s) named in the prior non-provisional application:  
  
                          
☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.
5. ☒ The entire disclosure of the priority application referred to below is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

The PTO did not receive the following  
omitted item(s) 00th or Declaration:

- ☐ Computer Readable copy  
☐ Paper Copy (identical to computer copy)  
☐ Statement verifying identity of above copies
8. ☒ Assignment Papers  
☒ Assignee: Sun Microsystems, Inc.
9. Power of Attorney  
☒ Is attached.  
☐ The power of attorney appears in the original papers of the prior application.  
☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)  
☐ Copies of IDS Citations
11. Amendments  
☐ A preliminary amendment is enclosed.  
☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.  
☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.
12. ☒ Return Receipt Postcard
13. Small Entity Status  
☐ A small entity statement is enclosed.  
☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.  
☐ Is no longer claimed.
14. ☒ Priority of provisional application number 60/462,025, filed on April 11, 2003 is claimed under 35 U.S.C. §§ 119(a)-(e)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☒ Fee Authorization form
17. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

Address all future correspondence to:

B. Noël Kivlin  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
**Customer ID #35690**  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 853-8840 Fax: (512) 853-8801

Signature

Name

Registration No.

Date

  
B. Noël Kivlin

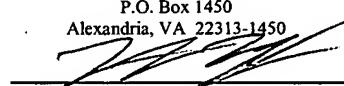
33,929

March 31, 2004

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**FEE AUTHORIZATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

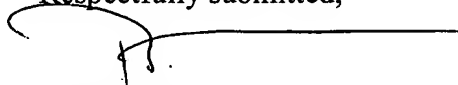
The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-13401/BNK:

Total Claims	28	- 20	=	8	x	\$18.00 =	\$144.00
Independent Claims	3	- 3	=	0	x	\$86.00 =	\$ -
Multiple Dependent Claims	Fee:						
Basic Fee:						\$	770.00
Total:						\$	914.00

Attorney Docket No.: 5681-13401

The Commissioner is also authorized to charge any extension fee or other fees, which may be necessary to the same account number.

Respectfully submitted,

  
B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

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Date: March 31, 2004